

Holistic approach for streamlined vehicle FuSa and CS engineering

William Zeng Created for SCSSS 2023 21 & 22 Nov. 2023, Stockholm

### William Zeng (Licentiate of Engineering)

### Married with one daughter and one son - Live in Gothenburg, Sweden

- 25+ years work experience cross a number of industry segments
  - Industrial automation mainly
  - 10+ years in transportation concerned
  - 15+ years in process industry

### • 7+ years R&D in ABB Sweden – Process Automation and Substation Automation

- System engineer
- Member of Swedish national technical standardization committee SEK/TK 65
- 10+ years with ABB China (Beijing and Shanghai)
  - Cross a number of industry domains e.g. Metals, Oil, Gas and Petrochemical & Chemical, Pulp & Paper, Miming, Marine
  - A number of role-taking as Automation Technology Specialist, DCS Product Manager, Functional Safety Champion, Sales & Marketing Manager, Business Development, Business director, Technical Standardization Leader
  - Leadership roles in key regional technology associations (FOUNDATION Fieldbus and PROFIBUA/PROFINET)
  - Member of national Technical Committee SAC/TC124 and its Sub-Committees (SC4 and SC10 Functional Safety centered) in China

### • 5+ years in CEVT (China Euro Vehicle Technology AB) since Sept. 2017

- FuSa Management in a number of product development projects at system level within powertrain domain (conventioal, hybrid and EV)
- System safety project leader at vehicle level in an ADS-Ready (BEV) vehicle development project

### • Roben Automotive AB since Oct. 2022, be part of the global <u>ROBEN</u> Network

- Managing director and Founder
- Technical and Management Consultancy
- FuSa Management in product development projects



### Content

- Tolerable Risk and AD in particular
- History about FuSa and Cybersecurity
- Trends and Challenges in Automotive
  - CASE
  - Software defined Vehicle (SDV)
  - Zone E/E Architecture
  - AD
  - Standards and Regulations
- Integrated Approach for streamlined vehicle FuSa and CS engineering
- Example of on-going product development project
- Summary and Conclusions





# ...everywhere and an integral part of our daily life



But ... These are surely not accepted risks !





### Tolerable level of risk varies by society and industry

# 平均1人的年死亡概率 Average 1 fatality per 10<sup>x</sup> years



Source: Center for Chemical Process Safety - UK



But

# Social acceptance of risk on AD is different – just look at the news headlines



### Self-driving Uber kills Arizona woman in first fatal crash involving pedestrian on 18 Mar. 2018

First known fatally from a self-driving vehicle hitting a pedestrian



Cruise is recalling 950 driverless cars after one of

its vehicles ran over a pedestrian on 2 Oct. 2023

### Public trust and cooperation of regulator(s) are essentially important !

Question is ... How Safe is Safe Enough as perceived and accepted by public ?



11th Scandinavian Conference on SYSTEM & SOFTWARE SAFETY, 21-22 Nov. 2023

weeks after the autonomous driving unit lost its license to operate

### Definition: Levels of Driving Automation

Dynamic Driving Task (DDT)					
SAE Level	Name	Lateral & longitudinal vehicle motion control performed by	"Object and Event Detection Response" (OEDR) performed by	<b>DDT Fallback</b> (in case of a loss of the automated driving function)	Availability of "Operational Design Domain" (ODD)
0	No driving automation	Driver	Driver	Driver	Not available/ applicable
1	Driver assistance	Driver & Vehicle system/ function	Driver	Driver	Limited <sup>1</sup> available
2 Feet Free	Partial driving automation	Vehicle system/ function	Driver	Driver	Limited <sup>1</sup> available
3 Nand Free	Conditional driving automation	Vehicle system/ function	Vehicle system/ function	Handover of control to "prepared" drivers	Limited <sup>1</sup> available
4 Eye Free	High driving automation	Vehicle system/ function	Vehicle system/ function	Vehicle system/ function	Limited <sup>1</sup> available
5 Mind Free	Full driving automation	Vehicle system/ function	Vehicle system/ function	Vehicle system/ function	Unlimited available

<sup>1</sup>Limited, based on the necessary ODDs for the respective automated driving function/ DDT



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Source: SAE J3061, ISO 21448, SGS-TÜV

### Product Liability & Manufacturer Liability



Note: On German Autobahn (traffic jams) Mercedes & BMW have Level 3 AD driving for "general public". In California the same but so far only Mercedes.

It's not just the driver and passengers that are exposed to risks here; other road users as well

- Liability is to be covered on the product and on the company
  - Product must fulfil the level of safety that can be <u>expected by general public</u>
  - Manufacturer must use State-of-the-art Processes, Science & Technology
- Compliance to regulations is a minimum must
  - Automotive industry has many, and approval processes are in place
  - Increasingly regulations cover the whole lifecycle of a vehicle
  - < More and more regulations also target processes next to product
- As history has shown; state-of-art argument is needed in addition
  - Automotive industry had its share of claims and product recalls
    - Unintended Acceleration (Japanese OEM): USD 1.6B
    - Hacked vehicle leading to power loss (US OEM): 1.4M vehicles recalled
  - Compliance with ISO/SAE/IEC standards and/or industry best-practices is needed
  - But which standards? And how to hand & manage all of them in an efficient & effective-way in a ever changing & demanding environment?
- With AD driving the safety expectations by public are much higher
- At the same time the technology has been becoming more complex
  - Safety & Security risks as well as AI



At the same time: AD driving is just one part of the automotive trends "CASE" CASE = Connectivity – Autonomous – Shared Mobility - Electrification





# Software Defined Vehicle (SDV) – Response to CASE - Computer\_on\_Wheels

### Key building blocks for SDV and benefits

- Zonal E/E architecture (on-board)
  - Ethernet
  - HPC
  - Vehicle OS
    - Decoupling of HW platform and SW platform, which are connected via Middleware
      - AUTOSAR Classic + AUTOSAR Adaptive
      - Service-oriented architecture instead of signal-based approach
- OTA Update
- Cloud connection and infrastructure (off-board)
- Artificial intelligence (AI): ML/DL/NN
  - Also as a toolbox to facilitate product development
- Enable innovations and new opportunities e.g. predictive maintenance
- Ecosystem with extended value chain: collaboration partnership
- Regulatory Compliance and Standards

# 

### 11<sup>th</sup> Scandinavian Conference on SYSTEM & SOFTWARE SAFETY, 21-22 Nov. 2023

### Domain based E/E Architecture

- Infotainment & Body
- Comfort & Powertrain
- ADAS & AD



### Zonal E/E Architecture

- Zone controller
- High Power Computing
- Sensor & Actuator

Safety and Cybersecurity shall be well considered for compliance for a CASE vehicle





# Evolution & OTA updates/upgrades along vehicle product lifecycle





### Sensing technology

- Camera
- RADAR
- Ultrasonic sensors
- SONAR
- LIDAR
- V2X Communication
- Mapping + Location technology
- Artificial Intelligence (AI)
  - ML/DL/Neural Network
- Fault-tolerant AD system handles all defined situations (ODDs)
  - Fail-Operational
  - X-by-Wire
- Cloud technology and service

• OTA

### Sense – Plan - Act





# Evolution & OTA updates/upgrades along vehicle product lifecycle – <u>AD driving shared mobility</u>





	Product Liability Manufacturer Liability				
Pillars	"State-of-the-Art" Proces <b>Functional Safety &amp; related</b> • ISO 26262 Functional Safety • ISO 21448 Safety of Intended Function	<ul> <li>Sses, Science and Technology</li> <li>Cybersecurity &amp; related</li> <li>ISO/SAE 21434 Cybersecurity engineering</li> <li>ISO 24089 SW Update Engineering</li> <li>ISO/IEC 27001 Information Security Management System</li> </ul>	<ul> <li>Regulatory Compliance &amp; Homologation</li> <li>UNECE R155 CSMS Cybersecurity Management System</li> <li>UNECE R156 SUMS Software Update Management System</li> <li>UNECE R157 ALKS Automated Lane Keeping System</li> <li>Specific AD regulations E.g. Bundesrat 86/22 for Germany</li> </ul>		
Fundaments -	Automotive SPICE <sup>®</sup> or CMMI <sup>®</sup> Process Maturity: ISO 330XX series Product Development Processes Quality Management Systems (ISO 9001, IATF 16949 , PPAP/APQP)				

### Safety standards: History and evolution





### Safety standards: ISO 26262 Functional Safety (Automotive)



Absence of unreasonable risk due to hazards caused by malfunctioning behavior of E/E systems, by taking measures (prevention, control, mitigation) to handle & manage

- Random hardware failures
- Systematic failures

### **Cybersecurity** is explicitly addressed at Clause 5.4.2 **Safety culture** in Management of functional safety of ISO 26262-2:2018

**5.4.2.3** The organization shall institute and maintain effective communication channels between functional safety, cybersecurity, and other disciplines that are related to the achievement of functional safety.

EXAMPLE 1 Communication channels between functional safety and cybersecurity in order to exchange relevant information (e.g. in the case it is identified that a cybersecurity issue might violate a safety goal or a safety requirement, or in the case a cybersecurity requirement might compete with a safety requirement).

EXAMPLE 2 Communication channels between functional safety and non-E/E related safety such as mechanical safety.

EXAMPLE 3 Communication channels between functional safety and quality.

NOTE • Guidance on potential interaction of functional safety with cybersecurity is given in <u>Annex E</u>. >



### Safety standards: ISO 21448 Safety of Functionality SOTIF – Sense-Plan-Act



In ISO 21434 "A.2 Explanations regarding the interaction between functional safety according to the ISO 26262 series and this document" (i.e. SOTIF)

- Closely related with focus on different aspects of automotive safety for automated vehicles
  - E/E system
  - SOTIF is for ADAS and HAD functions
- Complementary Roles
  - ISO 26262 addresses the prevention and control of systematic failures and random hardware and software failures
  - ISO 21448 addresses scenarios where a system operates as intended but still poses safety risks.-It deals with risks arising from scenarios that aren't covered by ISO 26262
  - Some overlap particularly in their risk assessment and analysis processes. ISO 26262 assesses the safety of the hardware and software components of a system, while ISO 21448 looks at the safety of the entire system's intended functionality.
- Use Together
  - Many automotive systems need comply with both ISO 26262 and ISO 21448. ISO 26262 covers the functional safety of the electronic systems, while ISO 21448 takes into account safety-related aspects that may not involve system failures but are critical for ensuring overall safety
- Integration of process
  - To achieve comprehensive safety in a vehicle, the standards can be integrated. This means that a vehicle manufacturer may have to consider both ISO 26262 and ISO 21448 processes when developing a vehicle, especially when it includes ADAS and HAD features
- Both risk based approach
- Both potentially exposed to cybersecurity threats







"Trustworthy Computing" Memo

# IEC 62443: Industrial communication networks Network and system security

- Address the need to design cybersecurity robustness and resilience into IACS
- Focus on Industrial Automation and Control Systems (IACS)



SSA: System Security Assurance EDSA: Embedded Device Security Assurance NERC: North American Electric Reliability Corporation CIP: Critical Infrastructure Protection IAEA: International Atomic Energy Agency NISTIR: National Institute of Standards and Technology Interagency Report RAMS: reliability, availability, maintainability and safety

### SD<sup>3</sup>+C Security

- UR E22 & E26 & E27 by Marine
- Design + Default + Deployment
- Communication



# Briefing on Security – Cybersecurity standardization evolution

- 1949: Term computer viruses was first introduced to public
- 1972: Cybersecurity could be dated back to a research project on ARPANET (The Advanced Research Projects Agency Network)
  - Bob Thomas created a computer program called "Creeper" capable of moving across the ARPANET's network and read "I am the creeper, catch me if you can"; Ray Tomlinson wrote a program "Reaper" capable of chasing and deleting the "creeper" "Reaper" was the very first antivirus software
- 1980 1990: ARPANET to Internet and things went to online Issues related to security started
- 1995: BS 7799 Information security management (via BSI)
- 2002 2010: ISA99 committee developed Industrial Automation and Control System (IACS) cyber security standard ANSI/ISA-62443
- 2005: ISO 27001 ISMS Requirements
- 2009 2010 Stuxnet (.stub and mrxnet.sys) worm attacked a nuclear (uranium enrichment) plant and led to a damage of 1,000 centrifuges The world's first digital weapon, and a game changer
- Mar. 2013 IPA released "Approaches for Vehicle Information Security" in Japan
- 2015: First ever and only (at the time) cybesercurity related recall of afftected vehicles by NHTSA
  - An wake-up event
- 2016: SAE J3061\_201601 Cybersecurity Guidebook for Cyber-Physical Vehicle Systems
- 2021: ISO/SAE 21434 Road vehicles: Cybersecurity engineering
- 2021: ISA/IEC 62443 family of standards recognized by IEC as 'horizontal standards' CMMI (ML 1-4) and CL (0-4)







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# Security: ISO/SAE 21434 Cybersecurity Engineering (Automotive)



**C** Confidentiality



Automotive



- Cybersecurity and Functional safety are intertwined, and cybersecurity threats against the vehicle could potentially affect the safety of the human being involved.
  - Cybersecurity threats more difficult to address than potential safety hazard
  - There is no safety without security
- A security-critical system is a system that may lead to losses of Safety, Financial, Operational and Privacy (SFOP) if the system is compromised through a vulnerability that *may exist in the system*
- All safety-critical systems are regarded security-critical •
  - A cyber-attack either directly or indirectly on a safety-critical system could lead to potential safety losses
- Not all security-critical systems are safety-critical i.e. entertainment system
- Some systems are both, safety and security critical, i.e. Steering Assist • System, transmission/powertrain, etc.
- ISO 21434 development is seen of being inspired by ISO 26262



**Risk Assessment – Impact level** 

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Severe/life-threatening injury 180 Life-threatening/Fatal-injury 1000	Sum of IL parameter	Impact Level	IL V
nancial (Operating Income)	values		
<x 0<="" msek="" td=""><td>0</td><td>None</td><td>0</td></x>	0	None	0
X-X MSEK 10	<b>(</b> 1 - 10	1	-
X-X MSEK 80	1 – 19	Low	1
X-X MSEK 700	20 - 99	Medium	2
> X MSEK 1000	20 - 35	Wedium	2
erational (Disturbance)	100 - 999	High	3
No impact			
Low 1	>= 1000	Critical	4
Medium 10			
High 100			
ivacy and Legislation			
No impact			
Low 1			
Medium 10			
High 100			



IL Value

# Cybersecurity considered by Euro NCAP and more...

# Euro NCAP 2025 Roadmap

IN PURSUIT OF VISION ZERO



# Cybersecurity and Test Center



"Euro NCAP may require a minimum level of Cyber-Security be demonstrated by the vehicle manufacturer" "As cars become increasingly connected and depend more and more on the exchange of data over the internet, so they become more vulnerable to hacking and cyber-attack. Cases have already been reported of some vehicle controls being remotely manipulated and there is increasing concern that this weakness could be exploited maliciously to jeopardise safety. In other words: a system that is not secure is not safe."



<u>5StarS</u> Automotive Cybersecurity through Assurance







# Automated Driving standardization and on-going initiatives for cross-discipline Alignment

- 4SO/CD TS 5083 Road vehicles Safety for automated driving systems Design, verification and validation
  - For SAE L3/L4 systems
- ISO/TS 22133:2023Road vehicles Test object monitoring and control for active safety and automated/autonomous vehicle testing — Functional requirements, specifications and communication protocol
- ISO/CD PAS 8800:2021 Road Vehicles Safety and artificial intelligence
- UL 4600 Evaluation of Autonomous
- ISO 34502: 2022 Scenario-based s
- P2846 IEEE- Assumptions in Safety-I
- ISO/SAE PAS 22736:2021 Taxonomy vehicles
  - ISA J3016\_202104 Taxonomy and E

Need to know about all of them and decide if they apply

Remember: need to be "State-of-art"

- DIN 70065:2023-07 (Draft) Road vehicles Requirements for a "Steer-by-Wire (SbW)-system"
- SO/TR 9839:2023 Road vehicles Applications of predictive maintenance to hardware related with ISO 26262-5
- ISO/IEC AWI:2023 TS 22440 Artificial intelligence Functional safety and AI system Requirements
- ISO/IEC DTR 5469 Artificial intelligence Functional safety and AI systems







### Harmonization/Consolidation



# Integrated approach via cross-disciplines harmonization and consolidation for synergy

Integrated approach by harmonization and consolidation in reference to ASPICE when possible & appropriate

- Framework and Methodology
- Product lifecycle including toolchain support
- Process
- Work Products
- Management of distributed development

ASPICE is a fundament: it gives you the path to follow to implement

risk-reducing measures in the System/SW/HW

The standards are common and therefore matching

- Risk based approach (ISO 21000)
- Top-down approach
- Can be used for V-model or "Rapid multi-V-Model" a.k.a. automotive adapted agile

Automotive Standards focus more on process than technology, as they apply to 10 or 10 million units alike

![](_page_28_Picture_14.jpeg)

### Integrated approach via harmonization and consolidation (Cont.)

Lists	ISO 26262	ISO/SAE 21434	Note	ISO 26262:2018	ISO 21434:2021
Target system	E/E	E/E		Item Definition	Item Definition
Lifecycle approach	Safety lifecycle All phases	CS lifecycle All phases	Concept-Development- Post Development	HARA	TARA
				Safety Goal	Cybersecurity Goal
Risk based approach	Yes	Yes		Function Safety Concept	Cyberserity Concept
	Fu£a cpacific	CC anosifia		Technical Safety Concept	Refined Cybersecurity Concept
	rusa specific	CS specific		Integration and Test	Integration and verification
Risk Assessment	HARA	TARA		Validation	Validation
Risk rating Management	ASIL A/B/C/D	CAL 1/2/3/4	MAN in ASPICE	Safety Plan Safety Case	Cybersecurity Plan
Management	165	163		Safety Case	Cybersecurity Case
	Organizational and	Organizational and		DIA	CIAD
	project specific	project specific		SEooC	Component out of Context
				Production	Production
Distributed development and	Yes	Yes	ACQ IN ASPICE	Confirmation Measures	CS Audit and CS Assessment
Management				(CR, Audit, Assessment)	
	DIA	CIAD		Release for production report	Release for post-development
V-Model	Yes	Yes	SYS-SWF- HWF in ASPICF		report
				Tailoring	Tailoring
	System-HW-SW	Component with HW&SW implicit		Confidence in the use of	Tool Management
				software tools	
Supporting Processes	13	A number o0	Overlapping with SUP & SPL & ACO & RFU in ASPICE	Qualification of software	Reuse
				components	
Post Production	Reactive Monitoring	Active Monitoring		Service and Operation	Operation and Maintenance
Activities				Decommissioning	End of CS Support and
		Event assessment			Decommission
		Incident reponse		More	More

![](_page_29_Picture_2.jpeg)

# Collabration for holistic streamlined system engineering

![](_page_30_Picture_1.jpeg)

Take measures needed

- FuSa & CS Culture
- Qualification
- Competence
- Accountability
- Role & Responsibilty
- Learning\_By\_Doing in addition to training
- Etc.

Adapt state of art science & technology and build up infrastructure needed for product development ISO 26262 People Technology ISO 21448 ISO 21434 ٠ ISO 24089 **OBD II Process** \*Top Management Support \*Leadership demonstrated by empowered FuSa and CS Magt \*Toolset support for structured way of working with clarity, traceability, consistency for improved confidence and trust

Implement & enforce state-of-the-art processes needed

- ASPICE 4.0 family
- QMS e.g. ISO 90001 and/or IATF 16949

![](_page_30_Picture_14.jpeg)

Example of on-going product development project SbW Actuator for AD L4 and L5 applications

Chassis Autonomy CS1 fail-operational steer-by-wire system

- Dual-channel design in support of Fail-operational
- ASIL D per ISO 26262
- CAL 2 per ISO 21434
- UNECE R155 & R156
- ASPICE 3 targeted
- SEooC and CSooC

An on-going CS2 SbW Road Wheel Actuator product development for AD L3 and above applications targeting passenger vehicles

Permit for use obtained from CA

![](_page_31_Picture_10.jpeg)

# CS1 system specification

Voltage	12V, 24V and 48V		
UN ECE vehicle categories	M1, M2, N1, N2 (up to 5 000 kg GVM)		
Force capability	22.5 kN		
Designed for	SAE J3016 Level 4 and 5 applications		
Designed to exceed	ASIL-D ISO 26262 Road vehicles – Functional safety		
Meets	ISO 21434 Road vehicles — Cybersecurity engineering UNECE R155 Cyber security and cyber security management system UNECE R156 Software update and software update management system ASPICE L3		

![](_page_31_Picture_13.jpeg)

# Safety and cybsercurity are all about **RELEAR** and Risk management

- If you think safety and cybersecurity is expense, try an accident...
- Murphy Law

Whatever can go wrong will go wrong, and at the worst possible time, in the worst possible way

![](_page_32_Figure_5.jpeg)

Safety is Only as Strong as its Weakest Link

- Of everyone's business
- Functional Safety and Cybersecurity go together for an integrated & streamlined system engineering via harmonization together with ASPICE 4.0 family
- Think big in a system context and conduct work in a collaborated manner via an integrated way for safe, secure and compliant products

![](_page_32_Picture_9.jpeg)

![](_page_32_Picture_11.jpeg)

![](_page_32_Picture_12.jpeg)

![](_page_32_Picture_13.jpeg)

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# What's next... With a new dimention to add – When a car can fly and more ...

![](_page_33_Picture_1.jpeg)

![](_page_33_Picture_2.jpeg)

Special thanks to my ROBEN partners for their contributions

- Marcel Romijn (RANL)
- Matthias Weber (RAPL)

# thanks for listening!

![](_page_34_Picture_4.jpeg)

![](_page_35_Picture_0.jpeg)

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